Please type a plus sign (+) inside this box	+

PTO/SB/05 (03-01)

August 8, 2001

Date

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY
PATENT APPLICATION
TRANSMITTAL

R2184.0116/P116 Attorney Docket No. Kei Hagiwara First Inventor

Express Mail Label No.

OPTICAL DISK DEVICE RECORDING, etc. Title

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Box Patent Application APPLICATION ELEMENTS ADDRESS TO: Commissioner for Patents See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or oŒ (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission 8. See 37 CFR 1.27. (if applicable, all necessary) 105 3. Х Specification [Total Pages Computer Readable Form (CRF) a. (preferred arrangement set forth below) Specification Sequence Listing on: Descriptive title of the invention
Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or paper Statement Regarding Fed sponsored R & D Reference to sequence listing, a table Statements verifying identity of above copies C. or a computer program listing appendix Background of the Invention **ACCOMPANYING APPLICATIONS PARTS** - Brief Summary of the Invention Brief Description of the Drawings (if filed) 9. Assignment Papers (cover sheet & document(s)) - Detailed Description Claim(s) 37 CFR 3.73(b) Statement Power of 10. - Abstract of the Disclosure (when there is an assignee) Attorney 4. X Drawing(s) (35 U.S.C. 113) (Total Sheets 20 11. English Translation Document (if applicable) Information Disclosure Copies of IDS 5. Oath or Declaration [Total Pages 12. Statement (IDS)/PTO-1449 Newly executed (original or copy) 13. Preliminary Amendment Copy from a prior application (37 CFR 1.63(d)) Return Receipt Postcard (MPEP 503) 14. Х (for continuation/divisional with Box 18 completed) (Should be specifically itemized) Certified Copy of Priority Document(s) 15. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 16. Applicant must attach form PTO/SB/35 or its equivalent. Х Other: 17. Claim for Priority Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) of prior application No.: Continuation Divisional Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label X | Correspondence address below DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Name Mark J. Thronson 2101 L Street NW Address City Washington State DC Zip Code 20037-1526 Country US Telephone (202) 785-9700 (202) 887-0689 Name (Print/Type) Mark J. Thronson 33.082 Registration No. (Attorney/Agent)

Signature



LATIN TO LONG THE CONTROL OF

## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

Complete if Known Application Number Not Yet Assigned herewith Filing Date Kei Hagiwara First Named Inventor Not Yet Assigned Examiner Name N/A Group Art Unit

TOTAL AMOUNT OF PAYMENT (\$) 1,308.00	Attorney Docket No.			ket No.	R2184.0116/P116	
METHOD OF PAYMENT	FEE CALCULATION (continued)					
1. X The Commissioner is hereby authorized to charge	3. A	3. ADDITIONAL FEES				
indicated fees and credit any overpayments to:	Large	e Entity Small Entity		l Entity		
Deposit Account 04-1073 Number		Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Paid	
Deposit	105	130	205	65	Surcharge – late filing fee or oath	
Account Name	127	50	227	25	Surcharge – late provisional filing fee or cover sheet.	
X Charge Any Additional Applicant claims small entity status. See		130	139	130	Non-English specification	
37 CFR 1.16 and 1.17 37 CFR 1.27	147	2,520	147	2,520	For filing a request for ex parte reexamination	
2. X Payment Enclosed	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
X Check Credit Card Money Order Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	115	110	215	55	Extension for reply within first month	
1. BASIC FILING FEE	116	390	216	195	Extension for reply within second month	
Large Entity Small Entity	117	890	217	445	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid	118	1,390	218	695	Extension for reply within fourth month	
101 710 201 355 Utility filing fee 710.00	128	1,890	228	945	Extension for reply within fifth month	
106 320 206 160 Design filing fee	119	310	219	155	Notice of Appeal	
107 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of an appeal	
108 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing	
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 710.00		110	240	55	Petition to revive – unavoidable	
	141	1,240	241	620	Petition to revive - unintentional	
2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid	142	1,240	242	620	Utility issue fee (or reissue)	
Total Claims 31 -20** = 11 X 18.00 = 198.00	143	440	243	220	Design issue fee	
Independent 8 -3** = 5 x 80.00 = 400.00	144	600	244	300	Plant issue fee	
Multiple Dependent =		130	122	130	Petitions to the Commissioner	
	123	50	123	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	126	180	126	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)	
103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3	146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
104 270 204 135 Multiple dependent claim, if not paid	149	710	249	355	For each additional invention to be examined (37CFR 1.129(b))	
109 80 209 40 ** Reissue independent claims over original patent		710	279	355	Request for Continued Examination (RCE)	
		900	169	900	Request for expedited examination of a design application	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other	fee (spec	cify)		o. a cosign approaction	
SUBTOTAL (2) (\$) 598.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)						
**or number previously paid, if greater; For Reissues, see above	_					

SUBMITTED BY		<u> </u>			Complete (	if applicable)
Name (print/type)	Mark J. Thronson		Registration No. (Attorney/Agent)	33,082	Telephone	(202) 775-4742
Signature	MA	2	1		Date	August 8, 2001

Docket No.: R2184.0116/P116

Group Art Unit: N/A

Examiner: Not Yet Assigned

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Kei Hagiwara, et al.

Application No.: Not Yet Assigned

Filed: herewith

For: OPTICAL DISK DEVICE RECORDING

DATA ON A RECORDABLE OR REWRITABLE OPTICAL DISK BY SETTING A RECORDING VELOCITY AND A RECORDING POWER FOR EACH

OF ZONES ON AN OPTICAL DISK



## **CLAIM FOR PRIORITY**

Commissioner for Patents Washington, DC 20231

Dear Sir:

Applicant hereby claims priority under 35 U.S.C. 119 based on the following prior foreign applications filed in the following foreign countries on the dates indicated:

Country	Application No.	Date
Japan	2000-241958	August 10, 2000
Japan	2000-363383	November 29, 2000

In support of this claim, a certified copy of each said original foreign application will be filed shortly.

Dated: August 8, 2001

Respectfully submitted,

Mark J. Thronson, Reg. No.: 33,082

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 775-4742

Attorneys for Applicant